

Triage assessment of cardiorespiratory risk status based on measurement of the anaerobic threshold, and estimation by patient-reported activity limitation.

^{1,2}Saranya Thurairatnam, ³Filip Gawecki, ⁴Timothy Strangeways, ⁵Joseph Perks BSc, ⁶Vatshalan Santhirapala MB ChB*, ⁷Jonathan Myers, ⁸Hannah C Tighe BSc; ⁹Luke SGE Howard DPhil FRCP, and ¹⁰Claire L. Shovlin PhD FRCP.

¹ School of Medicine, Imperial College London UK, and ²Hull/York School of Medicine, UK ‡; ³ School of Medicine, Imperial College London UK †; ⁴School of Medicine, Imperial College London UK †; ⁵Respiratory Medicine, Imperial College Healthcare NHS Trust, London UK; ⁶Respiratory Medicine, Imperial College Healthcare NHS Trust, London UK; ⁷Respiratory Medicine, Imperial College Healthcare NHS Trust, London UK ‡; ⁶Imaging, Imperial College Healthcare NHS Trust, London UK; ⁶School of Medicine, Imperial College London UK*; ⁷ Division of Cardiology, Veterans Affairs Palo Alto Health Care System (VAPAHCS) and Stanford University, Palo Alto, CA, ⁸Respiratory Medicine, Imperial College Healthcare NHS Trust, London UK; ⁹National Pulmonary Hypertension Service, Imperial College Healthcare NHS Trust, London UK; ¹⁰NHLI Cardiovascular Sciences Imperial College London, UK; VASCERN HHT European Reference Centre and Respiratory Medicine, Imperial College Healthcare NHS Trust, London UK

Corresponding author:

Claire L. Shovlin PhD FRCP, Professor of Practice (Clinical and Molecular Medicine), NHLI Cardiovascular Sciences, Imperial Centre for Translational and Experimental Medicine, Imperial College London, Hammersmith Campus, Du Cane Road, London W12 0NN, UK. c.shovlin@imperial.ac.uk

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Key Messages

What is already known:

- Alongside age, pre-existing medical conditions are perceived negatively during triage assessments, particularly if rare, and/or theoretically expected to influence cardiorespiratory risk;
- Anaesthetists use cardiopulmonary exercise testing to categorise patients to higher and lower risk independently to diagnostic labels, but this is not feasible in acute settings;
- Pulmonary arteriovenous malformations are an exemplar of a condition where, due to expected or measured abnormalities (hypoxaemia- low PaO₂ SpO₂), poor physiological capacity might be predicted.

What this study adds

- Neither age nor baseline SpO₂ predicted lower/higher risk categories by anaerobic threshold, but haemoglobin-dependent indices of oxygen delivery to the tissues were associated with higher risk, offering opportunities for improvement by attention to anaemia and aerobic conditioning;
- Baseline exercise tolerance may override age and diagnostic labels in triage settings: the 13-point VSAQ Veterans Specific Activity Questionnaire (VSAQ) is suggested as a rapid screening tool for cardiorespiratory risk assessment.

Key words:

- anaesthesia, assessment, general, respiratory, triage

1 Abstract

2

3 **BACKGROUND:** Rapid triaging, as in the current COVID-19 pandemic, focuses on age and pre-existing
4 medical conditions. In contrast, preoperative assessments use cardiopulmonary exercise testing (CPET)
5 to categorise patients to higher and lower risk independent of diagnostic labels. Since CPET is not
6 feasible in population-based settings, our aims included evaluation of a triage/screening tool for
7 cardiorespiratory risk.

8 **METHODS:** CPET-derived anaerobic thresholds were evaluated retrospectively in 26 patients with
9 pulmonary arteriovenous malformations (AVMs) who represent a challenging group to risk-categorise.
10 Pulmonary AVM-induced hypoxaemia secondary to intrapulmonary right-to-left shunts, anaemia from
11 underlying hereditary haemorrhagic telangiectasia and metabolic equivalents derived from the 13-point
12 Veterans Specific Activity Questionnaire (VSAQ) were evaluated as part of routine clinical care. Pre-
13 planned analyses evaluated associations and modelling of the anaerobic threshold and patient-specific
14 variables.

15 **RESULTS:** In the 26 patients (aged 21-77, median 57 years), anaerobic threshold ranged from 7.6-24.5
16 (median 12.35) ml.min⁻¹kg⁻¹ and placed more than half of the patients (15, 57.7%) in the >11 ml.min⁻¹
17 kg⁻¹ category suggested as “lower-risk” for intra-abdominal surgeries. Neither age nor baseline SpO₂
18 predicted anaerobic threshold, or lower/higher risk categories, either alone or in multivariate analyses,
19 despite baseline oxygen saturation (SpO₂) ranging from 79 to 99 (median 92)%, haemoglobin from 108
20 to 183 (median 156)g.L⁻¹. However, lower haemoglobin, and particularly, arterial oxygen content and
21 oxygen pulse, were associated with increased cardiorespiratory risk: Modelling a haemoglobin increase
22 of 25g.L⁻¹ placed a further 7/26 (26.9%) patients in a lower risk category. For patients completing the
23 VSAQ, derived metabolic equivalents were strongly associated with anaerobic threshold, enabling risk
24 evaluations through a simple questionnaire.

25 **CONCLUSIONS:** Baseline exercise tolerance may override age and diagnostic labels in triage settings.
26 These data support approaches to risk reduction by aerobic conditioning and attention to anaemia. The
27 VSAQ is suggested as a rapid screening tool for cardiorespiratory risk assessment to implement during
28 triage/screening.

29

30

31 **Introduction**

32

33 Difficult triage decisions need to be made in many clinical settings involving large numbers of critically
34 ill patients, as during the current COVID-19 pandemic. Such decisions are based on factors such as age
35 and pre-existing medical conditions, in addition to acute observations and measurements. With the
36 exception of certain common disease states, there is little evidence regarding associations with specific
37 infections or complication risks. Diagnostic labels are generally linked under a single, negative
38 umbrella of “pre-existing medical conditions”. There is particular concern that as for health insurance,
39 lack of familiarity with rare diseases may lead to an inappropriately negative weighting, with no time
40 to redress in an acute triage setting.

41

42 In pre-operative assessments, anaesthetists increasingly use cardiopulmonary exercise testing (CPET)
43 to identify patients who may be unable to appropriately respond to increased cardiorespiratory demands
44 of surgery due to reduced cardiorespiratory reserve.[1,2] The CPET-derived measure of anaerobic
45 threshold (AT) of $<11 \text{ ml}\cdot\text{min}^{-1} \text{ kg}^{-1}$ has been identified in multiple studies and systematic reviews to
46 be associated with adverse outcomes, mortality, and longer lengths of stay in a variety of surgeries,
47 including intra-abdominal and intra-thoracic procedures.[2-4] The AT represents the point where ATP
48 generation cannot be met by mitochondrial metabolism. It is considered a good measure as it reflects
49 oxygen delivery and patient conditioning, and is not dependent on the patient’s motivation during
50 exercise.[2]

51

52 The rapid assessment tool selected for evaluation was the Veteran’s Specific Activity Questionnaire
53 (VSAQ [5]). This is a simple 13-point scale of activities of increasing difficulty whereby the user
54 indicates which activity normally causes them to stop when performed for a period of time.[5] The
55 activities correspond to metabolic equivalents (METs), and numerous studies show a good correlation
56 with AT derived from cardiopulmonary exercise testing [6-8], mortality [9] and postoperative
57 complications [10].

58 We focussed on one particular rare disease that provides an instructive example of potentially over-
59 called cardiorespiratory risk. Pulmonary arteriovenous malformations (AVMs) are abnormal vascular
60 connections between pulmonary arteries and veins, resulting in an anatomic right-to-left shunt.[11]
61 Patients with pulmonary AVMs can demonstrate pronounced physiological abnormalities, including
62 significant hypoxaemia, [12-18] increased minute ventilation ($\dot{V}E$) for given increases in CO_2
63 production ($\dot{V}E/\dot{V}CO_2$ slope) [16,17], high cardiac output states [19], and often iron deficiency and
64 anaemia due to inadequate replacement of haemorrhagic iron losses from underlying hereditary
65 haemorrhagic telangiectasia (HHT) [20,21] There is no published guidance on management of
66 individuals with pulmonary AVMs or HHT undergoing anaesthesia, and each year, our service receives
67 requests regarding suitability for surgery and insurance, and/or reports that surgery or insurance has
68 been withheld because of the perceived risks of pulmonary AVMs/HHT.

69
70 Our goal was to evaluate commonly used assessment criteria, and examine the potential role for a rapid
71 assessment tool that could distinguish lower risk individuals in an emergency setting, based on usual
72 cardiorespiratory status. The detailed study aims were to explore which variables may be associated
73 with cardiorespiratory risk defined by the anaerobic threshold in order to inform triage and develop
74 approaches to help guide pre-exposure [23] or pre-operative [1-4,10] management. Having recently
75 applied the VSAQ to observational studies in patients with pulmonary AVMs and HHT, [17,18] we
76 hypothesised that this could prove to be a useful risk categorising tool for triage purposes across wider
77 patient groups.

78

79

80 **Methods**

81 ***General patient evaluations***

82 With ethical approvals (LREC 00/5792), patient indices derived as part of the clinical assessment
83 process in a pulmonary AVM service at a single centre were examined as described elsewhere

84 [14,15,17,18]. These included arterial oxygen content (CaO_2) derived from SpO_2 values measured in
85 the erect posture breathing room air using the established formula:

86
87
$$CaO_2 = \frac{1.34 \times Haemoglobin \times SpO_2}{100}$$

88
89 ***Cardiopulmonary exercise tests***

90 Previously reported cardiopulmonary exercise tests in patients with pulmonary AVMs where there had
91 been striking variability in anaerobic thresholds [16,17] were reanalysed with a focus on triage/pre-
92 operative assessments methods. Ethical approval had been granted by the NRES Committee London-
93 West London (11/H0803/9) and GTAC Research Ethics Committee (15/L0/0590). Written informed
94 consent had been obtained from all participants. Full methodological details are provided in [16,17].

95
96 ***Veteran's Specific Activity Questionnaire (VSAQ)***

97 The VSAQ was administered as part of routine clinical care to patients for independent completion,
98 using a modified version as presented in [Figure 1](#). Patient-reported activity limitations in the 13-point
99 scale were converted to metabolic equivalents (METs) in which 1 MET equals the consumption of 3.5
100 ml O_2 per kilogram of body weight. Metabolic equivalents (METs) were calculated from the VSAQ as
101 in the original protocol,[5] and subsequent validations [6-10], by the formula:

102
103
$$Predicted\ METs = 4.74 + (0.97 \times VSAQ\ score) - (0.06 \times Age)$$

104
105 ***Data Analysis***

106 Statistical analyses were performed in Microsoft Excel and Stata IC versions 14 and 15 (Statacorp,
107 Texas). Two-way analyses used Mann Whitney U test and three-way analyses Kruskal Wallis tests.
108 Prior to data analyses, patients were pre-categorised based on the published anaerobic threshold
109 delimiter of $11\ ml \cdot min^{-1} \cdot kg^{-1}$. [24] Since the risk categories may change in the future as more evidence
110 becomes available, patients were also pre categorised above and below the median AT value.[24].
111 Additionally, to further support the robustness of data analysis, regression analyses were performed

112 using A and log-transformed AT as the outcome variables (log-transformed AT had a more normal
113 distribution, data not shown).

114

115 *Patient and Public Involvement statement*

116 Patients were involved in earlier testing of the VSAQ [17,18] and aspects of design of the CPET
117 protocols. Focussing of our data towards the triaging of patients was an outcome of inputs from British
118 patients contacting us in March 2020, focussing on the question “Am I at High Risk?”

119

120

121 **Results**

122

123 *CPET Participant Demographics*

124 The 26 patients with pulmonary AVMs comprised 16 male, 10 females, and were aged 21-77 (median
125 57) years. SpO₂ ranged from 79 to 99 (median 92)%, haemoglobin from 108 to 183 (median 156)g.L⁻¹,
126 and body mass index (BMI) from 20 to 35.7 (median 26.1) kg.m⁻². Comorbidities were present in 11
127 patients: three had known asthma or chronic obstructive pulmonary disease (COPD), one had sleep
128 apnoea, and two had type 2 diabetes mellitus. In addition, three had suffered a previous stroke, transient
129 ischaemic attack, or venous thromboemboli, one was in atrial fibrillation, one had well controlled
130 hypertension, one was hypercholesterolaemic, one was significantly depressed, and two had benign
131 prostatic hypertrophy.

132

133 *CPET Demographics identify a low risk group*

134 As presented in [Table 1](#), based on the established anaerobic threshold delimiter of 11 ml.min⁻¹ kg⁻¹,
135 more than half of the cohort with pulmonary AVMs (15/26, 57.7%) were categorised as pre-operative
136 “low risk”, comprising 13 males and 2 females. The low risk group achieved a median 97% of their
137 predicted maximum work rate compared to the high risk group median of 68% predicted (Table 1).
138 Similarly, the median peak $\dot{V}O_2$ in the low risk group was 160% of the median in the high risk group.

139

Variable	Total (n=26) Median (Q1-Q3)	Anaerobic threshold 11 ml.min ⁻¹ kg ⁻¹ categorisations		P-value
		Low Risk (n=15) Median (Q1-Q3)	High Risk (n=11) Median (Q1-Q3)	
Age (yr)	57 (42-66)	57 (41-67)	62 (42-66)	0.64
Anaerobic Threshold, AT (ml.min ⁻¹ .kg ⁻¹)	12.4 (9.5-17.3)	15.3 (12.8-20.6)	9.5 (9.0-10.1)	<0.0001
Peak $\dot{V}O_2$ (ml min ⁻¹ kg ⁻¹)	19.8 (16.7-28.4)	26.8 (22.1-31.1)	16.7 (13.5-18.8)	<0.001
Maximum Work (% Predicted)	92 (67-106)	97 (90-111)	68 (64-95)	0.07
Oxygen saturation, SpO ₂ (%)	92 (88,95)	89 (87,96)	93 (90,95)	0.62
Haemoglobin, g.L ⁻¹	156 (142, 166)	142 (136, 158)	164 (149, 171)	0.03
Arterial oxygen content, CaO ₂ ml.dL ⁻¹)	19.1 (16.8, 20.7)	18.0 (15.7, 18.8)	20.4 (18.8, 21.0)	0.009
Oxygen Pulse (ml O ₂ .beat ⁻¹)	10.5 (8.9, 15.7)	9.2 (7.4, 10.4)	14.5 (9.7, 16.6)	0.008

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Table 1. Demographics of study cohort, categorised by anaerobic threshold of 11 ml.min⁻¹ kg⁻¹
Demographics and key cardiopulmonary exercise test (CPET) data for all study participants (26 datasets for each variable). Risk categorisations were performed using an AT threshold of 11 ml.min⁻¹ kg⁻¹. P-values were calculated using the Mann Whitney test.

146 The CPET-evaluated total oxygen consumption at peak exercise (peak $\dot{V}O_2$) has also been used for high
147 risk anaesthetic categorisation, noting that for reliable peak $\dot{V}O_2$ measurements, patients need to meet
148 their point of maximal exercise. In the current study, all low risk patients identified by anaerobic
149 threshold were also in a low risk category if defined by peak $\dot{V}O_2 < 20$ ml.min⁻¹.kg⁻¹ [2] (data not shown).

150
151

152 **Age and SpO₂ not associated with cardiorespiratory risk or anaerobic threshold**

153 There was no difference in age between the low and high risk groups categorised by an anaerobic
154 threshold delimiter of 11 ml.min⁻¹ kg⁻¹ (low risk mean 52.2 [95% confidence interval CI 43.8, 60.6]
155 years, versus high risk mean 53.9 [95% CI 42.9, 64.9] years. [Table 1](#) and [Figure 2A](#) display the median
156 values, the interquartile ranges (IQR), and 2 standard deviations. There was also no difference in age
157 between the low and high risk groups categorised by upper/lower 50th percentiles (lower risk mean 52.6
158 [95% CI 43.8, 61.5] years, versus higher risk mean 53.2 [95% CI 43.2, 63.3] years ([Table 2](#)). In keeping

159 with this, there was no detectable association between age and the absolute or log-transformed anaerobic
 160 threshold values (p -values >0.62 , data not shown).

161

162

Variable	Risk categorisation by anaerobic threshold upper and lower 50%		
	Lower Risk Upper 50% AT (n=13) Median (Q1-Q3)	Higher Risk Lower 50% AT (n=13) Median (Q1-Q3)	P-value
Anaerobic Threshold, AT (ml min ⁻¹ kg ⁻¹)	17.35 (14.35-20.6)	9.5 (9.4-10.7)	-
Peak $\dot{V}O_2$ (ml min ⁻¹ kg ⁻¹)	28.4 (23.6-31.1)	17.2 (15.4-18.8)	<0.001
Maximum Work (% Predicted)	97 (90-111)	68 (64-95)	0.03
Age (yr)	57 (46-60)	62 (42-66)	0.68
Resting SpO ₂	94 (91-95)	89 (87-96)	0.41
Haemoglobin, g.L ⁻¹	144 (139,164)	162 (149, 167)	0.13
Arterial oxygen content, CaO ₂ ml.dL ⁻¹)	18.2 (16.7, 19.6)	20.4 (18.8, 21.0)	0.04
Oxygen Pulse (ml O ₂ .beat ⁻¹)	15.0 (12.0-16.6)	9.6 (7.9-10.1)	0.0007

163

164

165 **Table 2. Comparison of upper and lower 50th percentiles for anaerobic threshold:**
 166 *Demographics and key CPET data for all 26 study participants categorized into two groups.*
 167 *The upper 50% contained the patients with the highest 13 anaerobic threshold values and the*
 168 *lower 50%, those with the lowest 13 values. P-values were calculated using the Mann Whitney*
 169 *U test, comparing the upper 50% to lower 50% group.*

169

170 Despite some very low resting SpO₂ measurements, there was also no difference in pulse oximetry-
 171 measured oxygen saturation (SpO₂) between the low and high risk groups: Categorised by an anaerobic
 172 threshold delimiter of 11 ml.min⁻¹ kg⁻¹, the means [95% CI] were 92 [90, 94]% for the low risk group,
 173 versus 91 [86, 95]% for the high risk group (**Table 1, Figure 2B**). Categorised by the upper/lower 50th
 174 percentile groups, the respective means [95% CI] were 92.5 [90.3, 94.6]% for lower risk, versus 90.5
 175 [87.1, 94.0]% for higher risk (**Table 2**). In crude and age-adjusted regression there was no detectable
 176 association between SpO₂ and either the absolute anaerobic threshold in ml.min⁻¹ kg⁻¹ or the log
 177 transformed values (p -values > 0.48 , data not shown).

178

179 We concluded that assuming the study had sufficient power, neither age, nor more surprisingly SpO₂ as
 180 measured, in themselves, would be markers of a higher risk state in the cohort.

181 ***Low haemoglobin, arterial oxygen content and oxygen pulse indicative of higher risk status***

182 A different picture emerged when examining markers of oxygen delivery to the tissues, thus confirming
183 that the study did have sufficient power to be discerning:

184
185 First, the higher risk groups had a trend towards lower haemoglobin, although there was some overlap
186 in confidence intervals. Categorised by an anaerobic threshold delimiter of 11 ml.min⁻¹ kg⁻¹, the mean
187 [95% CI] values were 159 [14.9, 17.0] g.L⁻¹ for the low risk group compared to 144 [13.1, 15.6] g.L⁻¹
188 for the high risk group (*Table 1, Figure 3*). By the upper/lower 50th percentiles, the respective means
189 [95% CI] were 158 [146, 171] g.L⁻¹ for the lower risk group compared to 147 [135, 159] g.L⁻¹ for the
190 higher risk group (*Table 2*).

191
192 More strikingly, the higher risk groups had significantly lower arterial oxygen content (CaO₂)
193 representing the oxygen content per unit volume of arterial blood, and calculated based on the oxygen
194 carriage of 1.34mls per gram of fully saturated haemoglobin: Categorised by an anaerobic threshold
195 delimiter of 11 ml.min⁻¹.kg⁻¹, the mean [95% CI] values were 19.6[18.4, 21.0] ml.dL⁻¹ for the low risk
196 group, but 17.4 [15.8, 19.0] ml.dL⁻¹ for the high risk group (*Table 1, Figure 3*). Categorised by the
197 upper/lower 50th percentiles, the means [95% CI] were 19.6 [18.1, 21.0] ml.L⁻¹ for the lower risk group
198 but only 17.8 [16.4, 19.3] ml.L⁻¹ for the higher risk group (*Table 2*).

199
200 Similarly, the higher risk group had a significantly lower mean oxygen pulse, representing the amount
201 of oxygen extracted/ delivered per heart beat: Categorised by an anaerobic threshold delimiter of 11
202 ml.min⁻¹ kg⁻¹, the mean [95% CI] values were 14.4 [11.0, 17.3] ml.beat⁻¹ for the low risk group, but 9.7
203 [6.7, 12.6] ml.beat⁻¹ for the high risk group (*Table 1*). Categorised by the upper/lower 50th percentiles,
204 the mean [95% CI] values were 14.6[12.3, 16.9] ml.L⁻¹ for the lower risk group but only 9.7 [7.2, 12.1]
205 ml.L⁻¹ for the higher risk group (*Table 2*).

206

207 Anaemia is very common, and readily correctable in clinical practice. We modelled whether increasing
208 haemoglobin alone might allow patients to move from a high to lower risk category. In multivariate
209 regression analysis, haemoglobin explained 57.3% of the variance in log-transformed AT (adjusted R^2
210 0.57). The regression coefficient of 0.053 (95% confidence interval 0.005, 0.100, $p=0.031$) implied that
211 for each 1 g.dL⁻¹ (10 g.L⁻¹) rise in haemoglobin, the AT would rise by 0.76 ml.min⁻¹.kg⁻¹, and a
212 haemoglobin rise of 2.5 g.dL⁻¹ would increase AT by 1.9 ml.min⁻¹.kg⁻¹, moving 7 (63.6%) of patients
213 from high to low risk based on an anaerobic threshold delimiter of 11 ml.min⁻¹ kg⁻¹. In the existing
214 dataset, it was not possible to preselect all patients who would benefit using single resting demographics
215 (data not shown).

216
217 Other measurements that were associated with the higher risk status were higher serum bicarbonate and
218 higher minute ventilation ($\dot{V}E$) for given increases in CO₂ production ($\dot{V}E/\dot{V}CO_2$ slope, Supplementary
219 Figure 1). These are not currently amenable to therapeutic correction.

220

221

222 ***VSAQ Score association with anaerobic threshold and risk categorisation***

223 Having demonstrated that at least half of the patients with the rare pulmonary vascular abnormality
224 would have their good exercise capacity and lower risk status readily identified were it feasible to
225 perform CPET, we were conscious that in standard clinical practice, it is impractical to perform CPET
226 on every patient. Usual activity could however be analysed by the VSAQ. As in data published for other
227 general population cohorts,[5-9] for pulmonary AVM patients also completing the VSAQ, there was a
228 good association between the previous CPET-derived AT and METs derived from the VSAQ
229 (Supplementary Figure 3).

230

231 We used the derived relationship between and AT and VSAQ to model the expected cut off by age on
232 the VSAQ that might indicate an individual in a lower risk category based on the established AT of 11
233 ml.min⁻¹.kg⁻¹. As noted in [Figure 4](#), this differs by patient age such that a VSAQ of 8 would be

234 suggestive of lower risk irrespective of age, whereas older individuals in the “best” New York Heart
235 Association (NYHA) category [25] could still fall into the higher risk category defined by AT
236 $<11\text{ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$. In younger individuals, lower risk would be assigned even in the setting of more
237 limited exercise capacity, (VSAQ 4-7, NYHA II). In other words, the VSAQ provided sufficient
238 granularity to indicate where age-related physiology would be ‘offset’ in particularly active older adults,
239 and where there may be more concern for a much less active younger individual.

240

241 **Discussion**

242

243 We demonstrate that high proportions of patients with a label that might be expected to mean “pre-
244 existing cardio-respiratory condition” do not fall into classical high risk categories when more carefully
245 evaluated. Furthermore, baseline age and SpO_2 were not associated with the anaerobic threshold and
246 therefore cardiorespiratory risk status in continuous, or categorical analyses. However, lower
247 haemoglobin, and haemoglobin-dependent indices of arterial oxygen content and delivery were
248 important predictors of lower anaerobic threshold and a higher risk state: our *post hoc* calculations
249 suggested increasing haemoglobin by $2.5\text{ g}\cdot\text{dL}^{-1}$ could have moved nearly two-thirds of the high risk
250 group into a lower risk category. We also demonstrate that a simple patient-based metric, the VSAQ,
251 could allocate patients to lower and higher cardiorespiratory risk categories as based on the anaerobic
252 threshold of $<11\text{ ml}\cdot\text{min}^{-1}\text{ kg}^{-1}$.

253

254 The study numbers are small but notably demonstrated non-overlapping confidence intervals for key
255 variables of oxygen tissue delivery. The current findings build on substantial previously published data
256 and analyses on pulmonary AVM [16,17] and general population [5-10] cohorts. Furthermore, if the
257 American Society of Anaesthetists (ASA) Physical Status Classification System [1] was employed,
258 most pulmonary AVM patients would not fall into a high risk category because in the absence of other
259 diseases, individuals with pulmonary AVMs rarely complain of respiratory symptoms.[14,17,22]
260 Compensatory mechanisms are so effective that in one study, work rate and oxygen consumption on

261 maximal CPET did not improve following embolisation treatments that obliterated the pulmonary
262 AVM(s) and improved SpO₂.^[16] However, the issue is how best to capture this good exercise
263 tolerance. We have previously reported the ease of use with the VSAQ in a pre-assessment clinic.^[18]
264 We have now adjusted to send the VSAQ to patients by email so they can report back the lowest number
265 at which they needed to stop at a subsequent teleconsultation, thus conveying complex physiological
266 information in seconds (*Onabanjo et al, manuscript in preparation*). While measurements in acute
267 settings do not reflect the patient's baseline, usual activity could be captured by the VSAQ either before
268 or at the time of triage assessment.

269
270 Potential mechanisms for the association between lower anaerobic threshold and less successful surgical
271 outcomes have been put forward, including the suggestion that regular exercise stimulates ischaemic
272 preconditioning and lessens surgical demand by enabling the body to adjust to ischaemia and better
273 utilise oxygen. Additionally, endurance exercise has been found to increase mitochondrial mass, which
274 can therefore delay the start of anaerobic respiration by enhancing the utilisation of oxygen by
275 mitochondria.^[6] “Prehabilitation” or pre-operative exercise therapy has been found to improve post-
276 operative outcomes in other disease groups and has been proposed to help prepare for COVID-19
277 infection.^[23] Herein we also show that addressing anaemia is likely to be an additional strategy to
278 reduce cardiorespiratory risk status.

279
280 In summary, high proportions of patients with a label that might be expected to mean “pre-existing
281 cardiorespiratory condition” do not fall into classical high risk categories when more carefully
282 evaluated. Given the need for appropriate allocation of ward/critical care resources, whether for
283 surgery, or in infective setting, we suggest the VSAQ offers a cost-effective tool that can be easily
284 integrated into triages or anaesthetic pre-assessments to assist with rapid evaluation of cardiorespiratory
285 risk.

286

287 **Authors' Contributions**

288 Conception and design: ST, FG, TS, CLS. Analysis and interpretation: ST, FG, TS, JP, BM, JEJ, ST,

289 VS, JM, HCT, LH and CLS. Drafting the manuscript for important intellectual content: ST, FG, CLS.

290 *In detail:* ST devised the CPET analyses focussing on anaesthetic risk, performed literature studies,

291 added to the pulmonary AVM CPET database, performed the data analysis, generated Figures 2, 3 and

292 Supplementary Figures 1 and 2, and wrote the first draft of the manuscript. FG introduced the VSAQ

293 clinic assessments, performed literature studies, generated the observational database, drafted

294 manuscript sections and generated Supplementary Figure 3. TS performed literature studies, assisted in

295 obtaining ethical approvals to recruit patients with airflow obstruction, and added to the pulmonary

296 AVM CPET database. JP co-supervised ST, and FG, and performed and interpreted CPET data

297 measurements. VS performed literature studies, contributed to generation of the observational

298 pulmonary AVM database, assisted in obtaining initial ethical approvals to recruit patients without

299 airflow obstruction, and initiated the pulmonary AVM CPET database. JM generated the VSAQ,

300 advised on physiological concepts, and contributed to data interpretation. HT co-supervised ST, and

301 FG, and performed and interpreted CPET data measurements. LH co-supervised TS and VS, and

302 performed CPET data measurements. CLS supervised all students, devised the initial CPET study,

303 reviewed all patients, performed literature searches, analysed and interpreted data, performed additional

304 presented data analyses, generated other Figures, and wrote the final manuscript. All authors contributed

305 to and approved the final version of this manuscript

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Declaration of Interests

The authors have no conflicts of interests to declare.

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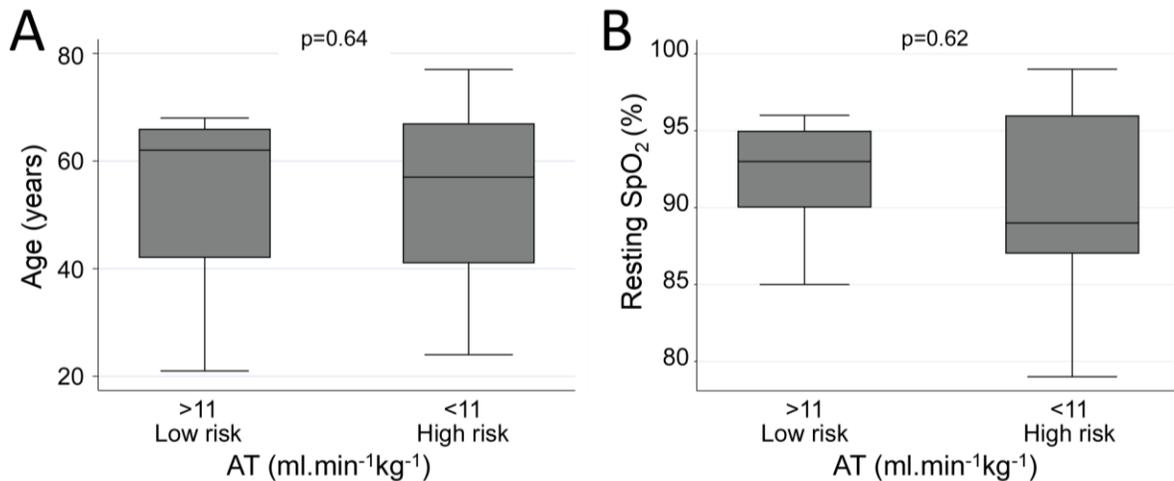
FIGURES

Figure 1: The UK-modified Veterans Specific Activity Questionnaire (VSAQ)

<p>It is important for us to understand whether you have normal, limited or even high levels of activity.</p> <p>On this list, first please circle <u>any activity</u> that when performed for a period of time, would typically <u>make you to want to stop.</u></p> <p>Then record in the box, the smallest number that you circled an activity for:</p> <div style="border: 1px solid black; width: 50px; height: 30px; margin-left: 20px;"></div>	1	Eating, getting dressed or working at a desk
	2	Taking a shower, shopping, cooking, walking down eight steps
	3	Walking slowly on a flat surface for 100-200 meters A moderate amount of work around the house such as vacuuming, sweeping the floors or carrying groceries
	4	Light gardening work Painting or light carpentry
	5	Walking briskly e.g. four miles per hour Social dancing, washing the car
	6	Playing nine holes of golf carrying your own clubs. Heavy carpentry. Pushing a lawn mower
	7	Performing heavy outdoors work e.g. digging Walking uphill, tennis singles, carrying a 4-5 year old child
	8	Moving heavy furniture, jogging slowly on the flat, carrying a toddler up stairs
	9	Cycling at a moderate pace, sawing wood
	10	Brisk swimming, cycling uphill, walking briskly up hill, jog six miles per hour
	11	Cross country skiing, carrying a heavy load up two flights of stairs, cycling briskly
	12	Running briskly, continuously
	13	Any competitive activity, including those which involve intermittent sprinting Running or rowing competitively, cycling races

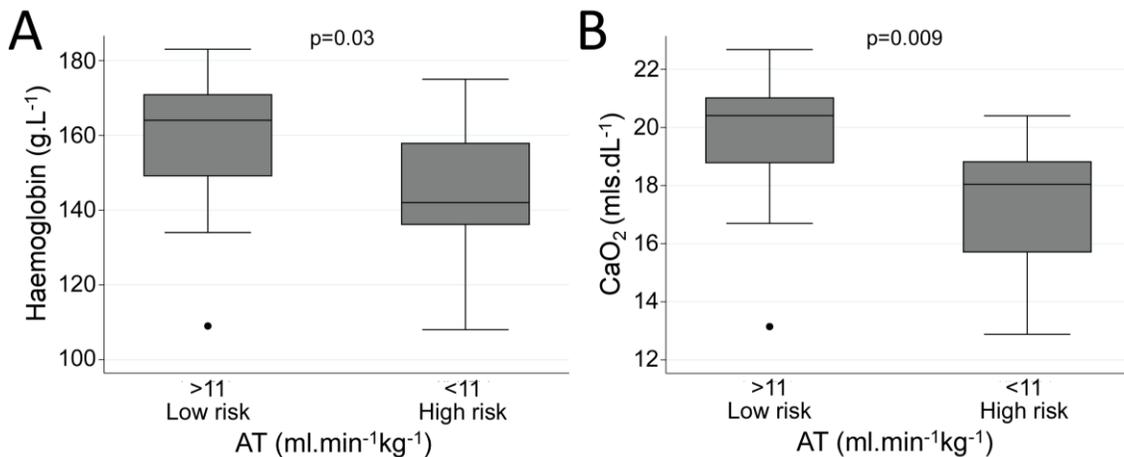
(adapted from [18] with authors' permission)

Figure 2: Age and oxygen saturation in low and high risk groups.



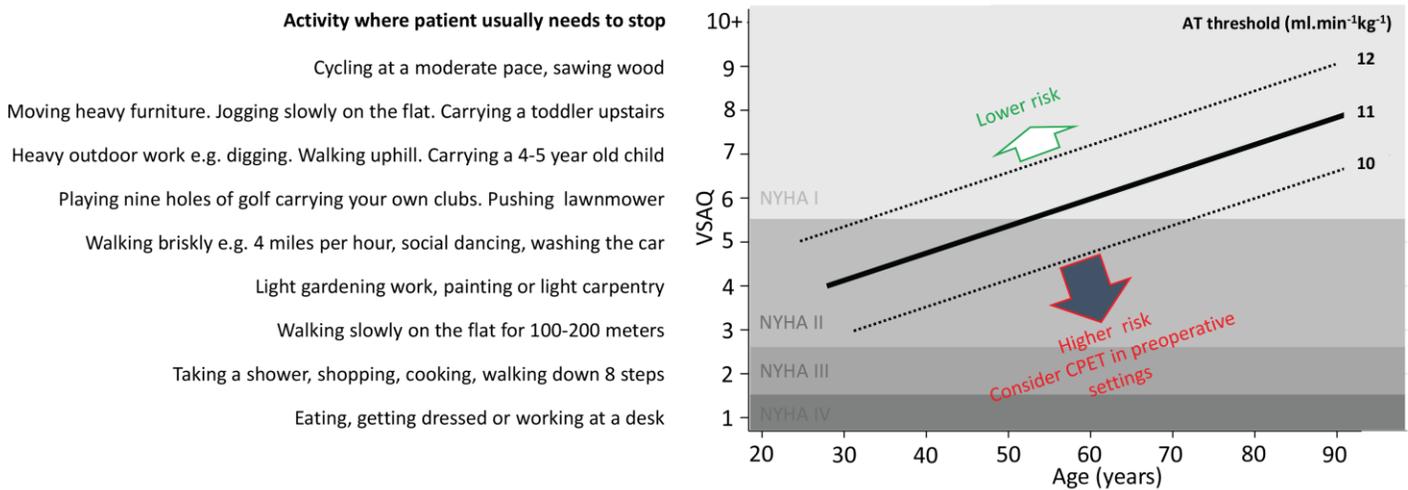
Box plots comparing values between the low risk and high risk anaerobic threshold (AT) groups for 26 pulmonary AVM patients, where high risk status was defined by AT lower than $11\text{ml}\cdot\text{min}^{-1}\text{kg}^{-1}$: **A**) Age (ys), **B**) Resting SpO₂ (%). Boxes indicate the median and interquartile range (IQR), and error bars represent 2 standard deviations, with dots at the extremes representing outliers. P-values were calculated by Mann Whitney U test.

Figure 3: Haemoglobin and arterial oxygen content in low and high risk groups



Box plots comparing values between the low risk and high risk anaerobic threshold (AT) groups for 26 pulmonary AVM patients, where high risk status was defined by AT lower than $11\text{ml}\cdot\text{min}^{-1}\text{kg}^{-1}$: **A**) Haemoglobin (g.dL⁻¹); **B**) CaO₂ (ml.dL⁻¹). Boxes indicate the median and interquartile range (IQR), and error bars represent 2 standard deviations, with dots at the extremes representing outliers. P-values were calculated by Mann Whitney U test.

Figure 4: Age-VSAQ method suggesting lower and higher risk AT categories.



The lowest 10 VSAQ scores and associated exercise limitations are plotted against age. Horizontal bands indicate the respective New York Heart Association (NYHA[25]) categories of I (no symptoms on ordinary physical activity), II (limited on ordinary activity), III (limited at 20-100m), and IV (limited at rest). To indicate lower and higher risk categories, the regression line is plotted for an anaerobic threshold of 11 ml.min⁻¹.kg⁻¹. To provide an indication of confidence limits, and the direction and scale of variation if this threshold scale were to be adjusted, regression lines for anaerobic thresholds of 10 and 12 ml.min⁻¹.kg⁻¹ are also plotted.